



## **Platform Securities Modern Slavery Statement**

**Financial Year ending 31<sup>st</sup> December 2018**

### **Opening Statement from Senior Management**

This statement is made on behalf of Platform Securities LLP and its group companies, including Platform Securities Services Limited and Platform Securities International Limited (together, “Platform Securities”).

Platform Securities is committed to ensuring that our business and supply chain reflects our values and respect for human rights.

We are committed to combating modern slavery and human trafficking. We expressly prohibit any participation in, support of, or association with the illegal and immoral practice of trafficking in persons, forced labour and slavery. Platform Securities is committed to a high ethical standard in its daily business practices and will continue to act in accordance with all applicable laws. We have implemented guidelines and processes to educate and sensitise our employees to this very important topic to ensure that they uphold the principles in this statement. Our employees are encouraged to report any incident relating to modern slavery so that appropriate remedies can be taken.

This statement is made pursuant to section 54 (1) of the UK Modern Slavery Act 2015. This statement outlines the steps we have taken during the financial year 2018 and our ongoing planned efforts to ensure that modern slavery is not occurring in our supply chains or our organisation.

### **Platform Securities organisation and business operation**

Platform Securities is a provider of financial technology solutions to UK and Europe, and delivers software, services, and outsourcing solutions with a focus on retail and institutional asset and wealth management, risk and compliance, trade enablement, transaction processing and record-keeping.

Platform Securities headquarters is located in Canary Wharf, London, UK. Our parent company, Fidelity National Information Services, is located in Jacksonville, Florida, USA and works with more than 20,000 clients in over 130 countries and counts more than 50,000 employees worldwide. You can see the [FIS Modern Slavery Statement here](#).

### **Platform Securities and Vendor Management**

Platform Securities vendor procurement and ongoing assessment process involves specific questions for our highest risk vendors and requires answers regarding compliance, including whether the vendor has its own statement on anti-slavery and anti-human trafficking, as well as an attestation that the vendor does not engage in any such activity as part of their own practices and supply chain.

The Platform Securities vendor management process includes an express prohibition against engaging in human slavery or trafficking.

**Platform Securities Policies in relation to slavery and human trafficking**

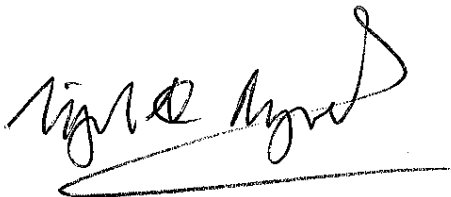
Platform Securities adheres to the principles and rules of its Code of Business Conduct and Ethics (the Code). The Code requires that Platform Securities and its employees not only adhere to Platform Securities policies and all laws in every jurisdiction in which Platform Securities operates, but also includes all transnational doctrines concerning fundamental human rights. Platform Securities mandatory annual anti-corruption training includes such prohibitions. These prohibitions are specifically included in our annual mandatory Code training for Platform Securities existing employees.

Platform Securities employees who learn of a violation, or suspected violation, of Anti-Human Trafficking and Anti-Slavery Laws, including but not limited to the Modern Slavery Act, are expected to report it immediately through established Platform Securities internal reporting mechanisms.

**Next Steps**

Platform Securities has a zero-tolerance approach when it comes to modern slavery, human trafficking and the violation of human rights. We will continue to monitor the steps we take currently and are implementing to help combat modern slavery. Platform Securities is committed to respecting human rights, no matter where in the world we are doing business.

This statement was approved by the Board of Platform Securities LLP on 15<sup>th</sup> April 2019 and will be reviewed annually.

A handwritten signature in black ink, appearing to read 'Nigel Reynolds', with a long horizontal line underneath it.

**Nigel Reynolds**  
**Chief Executive Officer**  
**Platform Securities LLP**